

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1 Application Serial No. ....09/876,376  
 2 Filing Date .....6/6/2001  
 3 Confirmation No. ....8992  
 4 Inventorship ..... John R. Douceur et al.  
 5 Applicant ..... Microsoft Corporation  
 6 Group Art Unit .....2154  
 7 Examiner ..... Jinsong Hu  
 8 Attorney's Docket No. .... MS1-769US  
 9 Title: Locating Potentially Identical Objects Across Multiple Computers Based On  
 10 Stochastic Partitioning Of Workload  
 11  
 12  
 13  
 14  
 15  
 16  
 17  
 18  
 19  
 20  
 21  
 22  
 23  
 24  
 25

**INFORMATION DISCLOSURE STATEMENT****References -- See Attached Form PTO-1449**

10 To: Commissioner for Patents  
 11 PO Box 1450  
 12 Alexandria, VA 22313-1450

13 From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)  
 14 Lee & Hayes, PLLC  
 15 421 W. Riverside Avenue, Suite 500  
 16 Spokane, WA 99201

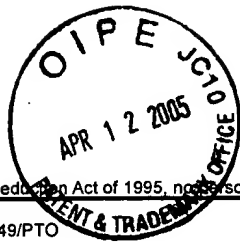
16 The attached form PTO-1449 is submitted in compliance with Applicant's duty of  
 17 disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of  
 18 official record in this application.

19 The Commissioner is hereby authorized to charge payment of fees or credit  
 20 overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

21 Dated: 4/12/05

22 By: [Signature]

23 Allan T. Sponseller  
 24 Reg. No. 38318  
 25



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	09/876,376
Filing Date	6/6/2001
First Named Inventor	John R. Douceur et a
Art Unit	2154
Examiner Name	Jinsong Hu
Attorney Docket Number	MS1- 769US

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,371,794	12-06-1994	Diffie et al.	
		US- 5,901,227	05-04-1999	Perlman, Radia J.	
		US- 5,909,540	06-01-1999	Carter et al.	
		US- 5,991,414	11-23-1999	Garay et al.	
		US- 6,098,079	08-012000	Howard, John H.	
		US- 6,405,315	06-11-2002	Burns et al.	
		US- 6,742,114	05-25-2004	Carter et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

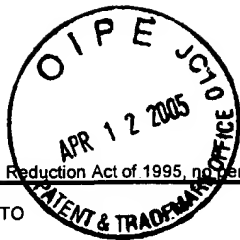
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/876,376
Filing Date	6/6/2001
First Named Inventor	John R. Douceur et a
Art Unit	2154
Examiner Name	Jinsong Hu
Attorney Docket Number	MS1- 769US

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		David R Cheriton and Timothy P. Mann, Decentralizing a Global Naming Service for Improved Performance and Fault Tolerance, (1989) pp 147-183.	
		Matt Evans, FTFS: The Design of A Fault Tolerant Distrubted File-System, (2000), pp 1- 49.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.